# IN THE DISTRICT COURT AT WELLINGTON

### I TE KŌTI-Ā-ROHE KI TE WHANGANUI-A-TARA

[2023] NZACC 140 ACR 149/23

UNDER THE ACCIDENT COMPENSATION ACT

2001

IN THE MATTER OF AN APPEAL UNDER SECTION 149 OF

THE ACT

BETWEEN POOJA GUPTA

Appellant

AND ACCIDENT COMPENSATION

CORPORATION Respondent

Judgment on the papers.

Submissions: P Chaudhary for the appellant

C Thorburn for the respondent

Date of Judgment: 29 August 2023

## JUDGMENT OF JUDGE D L HENARE [Late filing of an appeal to the District Court – s 151, Accident Compensation Act 2001]

#### Introduction

- [1] The appeal in the above matter was lodged by the appellant, Pooja Gupta on 25 July 2023. The appeal is from the decision of a Reviewer dated 19 April 2023. The Reviewer dismissed an application for review of the Corporation's decision of 30 November 2022 declining entitlement to weekly compensation.
- [2] On 7 August 2023, this Court issued an Initial Minute which directed Ms Gupta to formally apply for leave to file the appeal out of time and set out the reasons why the appeal was filed late.

- [3] On 25 July 2023, Mr Chaudhary [the husband of the appellant] submitted the appeal was filed late because Ms Gupta had a number of surgeries, including following the release of the review decision. Though surgeries undertaken by Ms Gupta are referred to in the review decision, there is no date of surgery identified by Mr Chaudhary, following the issue of the review decision. The Court sought further information from Mr Chaudhary.
- [4] On 28 August 2023, Mr Chaudhary forwarded hospital discharge summaries following surgeries for himself and Ms Gupta on dates in May and June 2023
- [5] On 15 August 2023, Ms Thorburn for the Corporation submitted the Corporation did not oppose the application for leave to appeal out of time, and there was no substantial prejudice to the Corporation in responding to the appeal.

#### Relevant law

- [6] Section 151 of the Accident Compensation Act 2001 (the Act) provides:
  - (1) An appellant brings an appeal by sending a notice of appeal to, or filing a notice of appeal in, a specified registry. ...
  - (3) The notice must be received by the specified registry—
    - (a) within 28 days after the date on which the reviewer gives a copy of the review decision to the appellant; or
    - (b) ...
    - (c) within any longer time allowed by the District Court.
- [7] The above provision is in line with the purpose of the Act. Section 3 of the Act provides that its purpose is to enhance the public good by providing for a fair and sustainable scheme for managing personal injury. Section 3 further states that one of the overriding goals of this scheme is minimising the economic, social and personal costs of the impact of injury on the community. Unnecessary delay in bringing an appeal to the Accident Compensation appeals jurisdiction of the District Court carries potential costs for the appellant and also for the justice system and those involved in it.

[8] In Avery v No 2 Public Service Appeal Board, Richmond J (for the Court of Appeal) stated:

When once an appellant allows the time for appealing to go by then his position suffers a radical change. Whereas previously he was in a position to appeal as of right, he now becomes an applicant for a grant of an indulgence by the Court. The onus rests on him to satisfy that in all the circumstances the justice of the case requires that he be given the opportunity to attack the judgment from which he wishes to appeal.

- [9] In *Almond v Read*,<sup>2</sup> Arnold J (for the Supreme Court) outlined the following principles to guide the exercise of the discretion to grant or deny an extension of time to lodge an appeal:
  - [37] Accordingly, where a litigant takes steps to exercise the right of appeal within the required timeframe (including advising the other party), but misses the specified time limit by a day or so as a result of an error or miscalculation (especially by a legal adviser) and applies for an extension of time promptly on learning of the error, we do not think it is appropriate to characterise the giving of an extension of time as the granting of an indulgence which necessarily entitles the court to look closely at the merits of the proposed appeal. In reality, there has simply been a minor slip-up in the exercise of a right. An application for an extension of time in such a case should generally be dealt with on that basis, with the result that an extension of time should generally be granted, desirably without opposition from the respondent.
  - [38] The ultimate question when considering the exercise of the discretion to extend time under r 29A is what the interests of justice require. That necessitates an assessment of the particular circumstances of the case. Factors which are likely to require consideration include:
    - (a) The length of the delay. Clearly, the time period between the expiry of the appeal date and the filing of the application to extend time is relevant. But in a case where there has been a slip-up and the appeal date has been inadvertently missed, how quickly the applicant sought to rectify the mistake after learning of it will also be relevant. Obviously, the longer the delay, the more the applicant will be seeking an "indulgence" from the court and the stronger the case for an extension will need to be.
    - (b) The reasons for the delay. It will be particularly relevant to know whether the delay resulted from a deliberate decision not to proceed followed by a change of mind, from indecision, or from error or inadvertence. If from a change of mind or from indecision, there is less justification for an extension than where the delay results from error or inadvertence, particularly if understandable.

Avery v No 2 Public Service Appeal Board [1973] 2 NZLR 86, 91.

<sup>&</sup>lt;sup>2</sup> Almond v Read [2017] NZSC 80, [2017] 1 NZLR 801, (2017) 23 PRNZ 533.

- (c) The conduct of the parties, particularly of the applicant. For example, a history of non-cooperation and/or delay by an applicant may be relevant.
- (d) Any prejudice or hardship to the respondent or to others with a legitimate interest in the outcome. Again, the greater the prejudice, the stronger the case will have to be to justify the grant of an extension of time. Where there is significant delay coupled with significant prejudice, then it may well be appropriate to refuse leave even though the appeal appears to be strongly arguable.
- (e) The significance of the issues raised by the proposed appeal, both to the parties and more generally. If there is a public interest in the issues, the case for an extension is likely to be stronger than if there is no such interest.

#### Discussion

[10] In terms of section 151(3)(a) of the Act, Ms Gupta was required to file a Notice of Appeal against the Reviewer's decision within 28 days after the date on which the Reviewer provided a copy of the review decision to her. The Reviewer's decision was dated 19 April 2023, which left a date of 18 May 2023 for the filing of the Notice of Appeal. In the event, the Notice of Appeal was filed on 25 July 2023. This Court is now being asked to exercise its discretion to allow a longer time for filing the Notice of Appeal (in terms of section 151(3)(c)). In deciding whether to exercise its discretion, this Court will follow the guidelines provided by the Supreme Court in *Almond v Read*.<sup>3</sup> These authoritative principles have been repeatedly followed by the District Court and are in line with the purpose of the Act stated above.

### (a) The length of the delay

- [11] The Supreme Court noted that the longer the delay, the more the applicant will be seeking an indulgence from the Court and the stronger the case for an extension would need to be; and that, in a case where there had been a slip-up and the appeal date had been inadvertently missed, how quickly the applicant sought to rectify the mistake after learning of it would also be relevant.
- [12] This Court notes that the delay in this case is some nine weeks. Ms Thorburn submits this period is neither minimal nor significant.

Above, note 2.

#### (b) The reasons for the delay

- [13] The Supreme Court noted that, if the delay arose from a change of mind or from indecision, there was less justification for an extension than where the delay resulted from error or inadvertence, particularly if understandable.
- [14] Mr Chaudhary submitted that the appeal was filed late because both he and his wife "had to go through other surgeries".
- [15] This Court is satisfied on the evidence provided on 28 August 2023 that the delay in filing arose from surgeries undertaken by Ms Gupta and Mr Chaudhary in the period following the issue of the review decision.

### (c) The conduct of the parties

- [16] The Supreme Court observed that a history of non-cooperation and/or delay by an applicant might be relevant.
- [17] Apart from the late filing of this appeal, the Court is not aware of any history of non-cooperation and/or delay by Ms Gupta.

# (d) Prejudice or hardship to the respondent or to others with a legitimate interest in the outcome

- [18] The Supreme Court noted that, where there is significant delay coupled with significant prejudice, then it might well be appropriate to refuse leave even though the appeal appeared to be strongly arguable.
- [19] This Court notes that the delay in this case is some nine weeks. The Corporation has confirmed that it did not oppose the late filing as there is no prejudice to the Corporation. The Court is not aware of any prejudice or hardship to others with a legitimate interest in the outcome of the present appeal.

# (e) The significance of the issues raised by the proposed appeal, both to the parties and more generally

[20] The Supreme Court observed that, if there is a public interest in the issues, the case for an extension is likely to be stronger than if there is no such interest.

6

[21] This Court accepts that the proposed appeal is significant to Ms Gupta. The

Court is not in a position to assess the significance of the issues raised by the

proposed appeal more generally.

The Decision

[22] In light of the above considerations, this Court finds that Ms Gupta has

established that the interests of justice require the exercise of the Court's discretion

to sustain her application for leave to file the appeal out of time, which is

accordingly granted.

[23] However, the Court draws Ms Gupta's attention to section 161(3) of the

Accident Compensation Act 2001 which provides that, if an appeal is not prosecuted

with due diligence, the Court may dismiss the appeal on the application of any party.

Ms Gupta is therefore required to comply promptly with deadlines and Court

directions in the future processing of his appeal, failing which his appeal will be at

further risk.

[24] There are no issues as to costs.

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D L Henare

District Court Judge